

IN ARBITRATION BEFORE DESIGNATED ARBITRATOR DAVID J. KAUFMANN  
(CONDUCTED BY CONSENT PURSUANT TO THE COMMERCIAL ARBITRATION  
RULES OF THE AMERICAN ARBITRATION ASSOCIATION)

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**PIRTEK, USA,**  
**Claimant-Counterclaim Respondent,**

v.

**TEMPORARY RESTRAINING ORDER**

**JAMES LAGER,**  
**Respondent/Counterclaimant**

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Upon the December 23, 2021 application of Michael Joblove, co-counsel to Claimant/Counterclaim Respondent Pirtek, USA, not responded to by counsel to Respondent-Counterclaimant James Lager, it is hereby ordered and decreed that Mr. Lager be temporarily restrained and enjoined from transmitting the submission to the North American Securities Association ("NASAA") which Mr. Lager *ex parte* communicated to the undersigned on December 22, 2021 and advised he would submit to NASAA no later than Christmas (which the undersigned promptly forwarded to all counsel) pending a hearing to be conducted in early January, at a date and time convenient to counsel and the undersigned, to determine whether a preliminary injunction of like effect should issue.

It appears to the undersigned that Mr. Lager's communication to NASAA violates the parties' September 2, 2020 Settlement Agreement. But I am certainly willing to entertain any argument to the contrary advanced by Mr. Lager's counsel at the preliminary injunction hearing. Since the NASAA submission deadline is January 6, 2022, Mr. Lager is not being prejudiced pending the preliminary injunction hearing which clearly must be conducted before that date. I urge counsel to communicate possible dates and times for such a hearing as soon as possible.



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December 23, 2021

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